PTO/SB/21 (modified) Approved for use through xx/xx/xx, OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Department of Commerce **Application Number** 10/004,019 Patent and Trademark Office Filing Date October 18, 2001 TRANSMITTAL FORM First Named Inventor **Eng-What Toh** (to be used for all correspondence during pendency of Group Art Unit Number 2131 filed application) Unknown **Examiner Name** 20735-05505 JUN 2 4 2002
Technology Center 2100 Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) Issue Fee Transmittal Fee Transmittal Form (in duplicate) **Check Enclosed** Letter to Chief Draftsperson Return Receipt Postcard Formal Drawing(s): Response to Notice to File Missing Parts [] Sheet(s) of Figure(s) [] Appeal Communication to Board of Appeals and Assignment & Recordation Cover Sheet Interferences Declaration Appeal Communication to Group Power of Attorney (Appeal Notice, Brief, Reply Brief) **Application Data Sheet** Certified Copy of Priority Document(s) Supplemental Information Disclosure Statement & PTO-1449 Copies of IDS Cited References After Allowance Communication to Group Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment/Response: [] Page(s) After Final Status Request Revocation and Substitute Power of Attorney REMARKS: ** "Total Number of Pages in this Submission" does not include cited reference. SIGNATURE OF ATTORNEY OR AGENT Signature: Dated: Attorney/Reg. No.: Bradley D. Baugh, Reg. No. 50,368 CERTIFICATE OF MAILING I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express

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书

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Eng-Whatt Toh and Kok-Hoon

APPLICATION NO.:

10/004,019

FILING DATE:

October 18, 2001

TITLE:

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Secure and Reliable Document Delivery Using Routing Lists

EXAMINER:

Unknown

GROUP ART UNIT:

2131

ATTY. DKT. NO.:

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13 June 2002

By: Bradley D. Barg

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COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

	before the mailing of a first official action after the filing of a request									
	contin	ued exa	mination (RCE) under 37 CFR § 1.114;							
	after three months of the filing date of this national application or the date									
	of entry of the national stage in an international application, or after the									
	mailing date of the first official action on the merits, whichever event last									
	occurred, but before the mailing date of the first to occur of either: (1) a									
	final action under 37 CFR §1.113; or (2) an action that otherwise closes									
			the application, and:							
	attached hereto is the fee set forth under 37 CFR §1.17(p) for									
	_		mission of this Information Disclosure Statement under 37							
			R.§ 1.97(c); OR							
	П		Applicant certifies pursuant to 37 CFR § 1.97(e) that:							
			each item of information contained in this Information							
	•	لسا	Disclosure Statement was first cited in a communication							
			from a foreign patent office in a counterpart foreign							
			application not more than three months prior to the filing of							
			this Statement; OR							
		П	no item of information contained in this Information							
			Disclosure Statement was cited in a communication from a							
			foreign patent office in a counterpart foreign application							
			and, to the knowledge of the person signing this							
			certification after making reasonable inquiry, no item of							
			information contained in this Statement was known to any							
			individual designated under 37 CFR § 1.56(c) more than							
			three months prior to the filing of this Statement;							
	hefor	e the na	syment of the issue fee but after the mailing date of the first to							
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	occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:									
			icant certifies pursuant to 37 CFR. § 1.97(e) that:							
			each item of information contained in this Information							
		Ш	Disclosure Statement was cited in a communication from a							
			foreign patent office in a counterpart foreign application no							
			more than three months prior to the filing of this Statement;							
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			or no item of information contained in this Information							
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			ication after making reasonable inquiry, no item of							
			nation contained in this Statement was known to any							
			idual designated under 37 CFR § 1.56(c) more than							
			months prior to the filing of this Statement; AND							
•	П		to is the fee set forth under 37 CFR §1.17(p) for							
			of this Information Disclosure Statement under 37							
		CFR.§ 1.97(c								
	☐ after t	•	the issue fee. Applicant request that the information							
	_		ormation Disclosure Statement be placed in the file							
			\ \ \ \ 1.97(i), although the information may not be							
		dered by the US								
П		-	er 35 U.S.C. § 120, on the earlier filing date of prior							
		application No. [APPLICATION NUMBER], filed on [FILING DATE], and the								
		references cited therein are hereby referenced, but are not required to be provided								
			CFR § 1.98(d).							
		n item of information contained in this Information Disclosure Statement was								
	cited in a communication from a foreign patent office in a counterpart application,									
			not received by any individual designated in 37 CFR							
		66(c) more than thirty days prior to the filing of this Information Disclosu								
		ment. 37 CFR § 1.704(d).								
\boxtimes	Applicant submits that no fee is required for the consideration of this Information									
	Disclosure Statement.									
Consi			es and favorable action are solicited.							
			Respectfully submitted,							
			ENG-WHATT TOH & KOK-HOON TEO							
Dated: 13	June 20	02	By: Bridley D. Barg							
			Bradley D. Baugh, Reg. No.: 50,368							
			Fenwick & West LLP							
			Two Palo Alto Square							
			Palo Alto, CA 94306 Tel.: (650) 858-7644							
			Fax.: (650) 494-1417							

						Sheet <u>1</u> of <u>1</u>	
FORM PTO-1449 (REV_6-89)	Paten	DEPARTMENT OF COMMERCE nt and Trademark Office	Attomey's Docket No. 20735-05505		Serial No. 10/004,019		
OINFORM	NATION DISCLOSUF	RE CITATION	Applicant Eng-Whatt Toh, et al.				
JUN 1 8 2002 (S)	(Use several sheets if necessa	ary)	Filing Date 10/18/01		Group Art Unit 2131		
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EXAMINER: Initial if refer	rences considered, whether or not co		E CONSIDERED PEP § 609: Draw line th	nough citation if not in	conformance a	and not considered.	

PTO-1449 REV: 02/01

Include copy of this form with next communication to applicant.